FINS5522
ASIA-PACIFIC FINANCIAL MARKETS

Course Outline
Semester 2, 2015

Part A: Course-Specific Information
Part B: Key Policies, Student Responsibilities and Support
# Table of Contents

## PART A: COURSE-SPECIFIC INFORMATION

1. **STAFF CONTACT DETAILS**  

2. **COURSE DETAILS**  
   - 2.1 Teaching Times and Locations
   - 2.2 Units of Credit
   - 2.3 Summary of Course
   - 2.4 Course Aims and Relationship to Other Courses
   - 2.5 Student Learning Outcomes

3. **LEARNING AND TEACHING ACTIVITIES**
   - 3.1 Approach to Learning and Teaching in the Course
   - 3.2 Learning Activities and Teaching Strategies

4. **ASSESSMENT**
   - 4.1 Formal Requirements
   - 4.2 Assessment Details
   - 4.3 Assessment Format
   - 4.4 Assignment Submission Procedure
   - 4.5 Late Submission

5. **COURSE RESOURCES**

6. **COURSE EVALUATION AND DEVELOPMENT**

7. **COURSE SCHEDULE**

## PART B: KEY POLICIES, STUDENT RESPONSIBILITIES AND SUPPORT

8. **PROGRAM LEARNING GOALS AND OUTCOMES**

9. **ACADEMIC HONESTY AND PLAGIARISM**

10. **STUDENT RESPONSIBILITIES AND CONDUCT**
   - 10.1 Workload
   - 10.2 Attendance
   - 10.3 General Conduct and Behaviour
   - 10.4 Occupational Health and Safety
   - 10.5 Keeping Informed

11. **SPECIAL CONSIDERATION**

12. **STUDENT RESOURCES AND SUPPORT**
PART A: COURSE-SPECIFIC INFORMATION

1 STAFF CONTACT DETAILS
Lecturer-in-charge: A/Prof. Toan Pham
Room 364 UNSW Business School Building
Phone No: 9385 4925
Email: t.pham@unsw.edu.au
Consultation Times – By appointment

2 COURSE DETAILS

2.1 Teaching Times and Locations
Lectures start in Week 1(to Week 12): The Time and Location are:
Tue 18:00-21:00 TETB LG05 (Tyree Energy Technology Building)
Each class consists of a lecture then followed by discussion. Discussion is led by the
lecturer and students are expected to participate.

2.2 Units of Credit
The course is worth 6 units of credit.

2.3 Summary of Course
This course covers essential elements of Asia-Pacific Financial markets.
Areas of interest – specifically relevant to Asia-Pacific markets - include interactions of
institutions and finance, corporate governance, liberalization, sovereign risk, financial
crises, investment/valuation issues, financing/methods of funds raisings, global
portfolio management, foreign direct investment, private equity/venture capital.

2.4 Course Aims and Relationship to Other Courses
This course aims to increase students' understanding of:
- Why study and invest in emerging markets
- How to modify concepts/techniques of financial analysis developed for
  advanced economies and apply them to emerging markets

This course is offered as an elective of a major in Banking and Finance. It builds on the
knowledge gained through completion of FINS5512 and FINS5513.

The subject matter and methodology of FINS5522 requires an eclectic knowledge
involving finance, law, culture, behavioural aspects and management.

Warning: Students are expected to read a significant amount of materials and be able
to write well. More than any other finance course strength in reading and writing is
required.
2.5 Student Learning Outcomes

By the end of this course, you should be able to:

- Understand and analyse the financial issues involved in an Asia-Pacific emerging market
- Concisely put forward your views on a topic in writing and verbally
- Be able to apply the concepts/techniques learned to new situations encountered in the context of an Asia-Pacific market

### Business Postgraduate Coursework Program Learning Goals and Outcomes

1. **Knowledge:** Our graduates will have current disciplinary or interdisciplinary knowledge applicable in local and global contexts.
   You should be able to identify and apply current knowledge of disciplinary or interdisciplinary theory and professional practice to business in local and global environments.

2. **Critical thinking and problem solving:** Our graduates will have critical thinking and problem solving skills applicable to business and management practice or issues.
   You should be able to identify, research and analyse complex issues and problems in business and/or management, and propose appropriate and well-justified solutions.

3. **Communication:** Our graduates will be effective communicators in professional contexts.
   You should be able to:
   a. Produce written documents that communicate complex disciplinary ideas and information effectively for the intended audience and purpose, and
   b. Produce oral presentations that communicate complex disciplinary ideas and information effectively for the intended audience and purpose.

4. **Teamwork:** Our graduates will be effective team participants.
   You should be able to participate collaboratively and responsibly in teams, and reflect on your own teamwork, and on the team’s processes and ability to achieve outcomes.

5. **Ethical, social and environmental responsibility:** Our graduates will have a sound awareness of ethical, social, cultural and environmental implications of business issues and practice.
   You should be able to:
   a. Identify and assess ethical, environmental and/or sustainability considerations in business decision-making and practice, and
   b. Consider social and cultural implications of business and/or management practice.

The following table shows how your Course Learning Outcomes relate to the overall Program Learning Goals and Outcomes, and indicates where these are assessed (they may also be developed in tutorials and other activities):

<table>
<thead>
<tr>
<th>Program Learning Goals and Outcomes</th>
<th>Course Learning Outcomes</th>
<th>Course Assessment Item</th>
</tr>
</thead>
<tbody>
<tr>
<td>This course helps you to achieve the following learning goals for all Business postgraduate coursework students:</td>
<td>On successful completion of the course, you should be able to:</td>
<td>This learning outcome will be assessed in the following items:</td>
</tr>
</tbody>
</table>
| 1  Knowledge                        | • Understand and analyse the financial issues involved in an Asia-Pacific emerging market  
  • Concisely put forward your views on a topic in writing and | • Seminar Discussion  
  • Report  
  • Mid-session Test |
<table>
<thead>
<tr>
<th></th>
<th></th>
<th>verbally Be able to apply the concepts/techniques learned to new situations encountered in the context of an Asia-Pacific market Examples of learning outcomes: Explain the assumptions of standard models of international macroeconomics. Use statistical skills to present data relevant to problems in international macroeconomics.</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>Critical thinking and problem solving</td>
<td>• Apply the knowledge gained from the course to make investment decisions with respect to Asia Pacific markets</td>
</tr>
<tr>
<td>3a</td>
<td>Written communication</td>
<td>Construct written work which is logically and professionally presented.</td>
</tr>
<tr>
<td>3b</td>
<td>Oral communication</td>
<td>Communicate ideas in a succinct and clear manner.</td>
</tr>
<tr>
<td>4</td>
<td>Teamwork</td>
<td>Work collaboratively to complete a task.</td>
</tr>
<tr>
<td>5a</td>
<td>Ethical, social and environmental responsibility</td>
<td>Identify and assess environmental and sustainability considerations in problems in international macroeconomics.</td>
</tr>
<tr>
<td>5b</td>
<td>Social and cultural awareness</td>
<td>Students work on syndicated assignments which involve social and cultural aspects of emerging market societies</td>
</tr>
</tbody>
</table>

### 3 LEARNING AND TEACHING ACTIVITIES

#### 3.1 Approach to Learning and Teaching in the Course

The learning experience will primarily involve through a combination of lectures, interactive class discussion, case study presentation, and feedback through mid-session assessment and comments on class discussions.
While a formal instruction approach is adopted the course also requires students (in syndicates) to complete a case study. This may be an aspect of a self-learning approach which is expected to allow students the best opportunity to learn and retain the course material. It also equips students to be able to develop the necessary analytical and communication skills to assess new problems encountered.

### 3.2 Learning Activities and Teaching Strategies

- Students are expected to have a cursory read through the uploaded lecture/seminar materials prior to class and identify issues/points they are uncertain of for discussion in the class.
- Students are expected to keep up to date on recent/current news as illustrations of cases/events relating to Asia-Pacific economies (use of Google search is encouraged).
- Lectures consist of highlighting the main/difficult points that need to be understood, accompanied by discussing points where the class may be expected to contribute.
- Overall the teaching strategies are aimed at equipping students with a solid understanding of the main points, accompanied by discussion and feedback.

### 4 ASSESSMENT

#### 4.1 Formal Requirements

In order to pass this course, you must:
- achieve a composite mark of at least 50; and
- make a satisfactory attempt at all assessment tasks (see below).

#### 4.2 Assessment Details

<table>
<thead>
<tr>
<th>Assessment Task</th>
<th>Weighting</th>
<th>Length</th>
<th>Due Date</th>
</tr>
</thead>
</table>
| Mid-session test                       | 15%       | 1 hour          | In class exam
Venue to be confirmed on website and in class
Tue 1 September 2015                     |
| Report on syndicated case study*       | 31.5%     | 2500 words      | 23:59 on 18 October, 2015                                                |
|                                        |           | Extra materials |                                                                           |
|                                        |           | above 2500 words|                                                                           |
|                                        |           | may be put in an appendix                                      |
| Oral presentation of syndicated case study | 3.5%    | To be advised    | Class, Weeks 10, 11                                                       |
| Final Exam                             | 50%       | 2 hours         | University Exam Period                                                   |
| Total                                  | 100%      |                 |                                                                          |
A syndicate consists of a maximum of 4 persons. Scope allows for individual assessment of team work/cultural dimension and common group work.

A case study is available on the course website. Enrolled students can download it.

Details of each assessment task:

**Mid-session test:**
Requiring students to write answers to questions about the issues covered up to and including Week 4.
Criteria of assessment include relevance, cogency of arguments and presentation.

**Final examination:**
Requiring students to write answers to questions about the issues covered during the whole course.
Criteria of assessment include relevance, cogency of arguments and presentation.

**Case Study:**
Assessment of the case study report consists of 2 components:
Presentation by the group (3.5%)
Written report (31.5%)
Criteria of assessment include relevance, cogency of arguments and presentation.

Overall adequate reading and writing skills are part of what is needed for this course.

4.3 Assessment Format

4.4 Assignment Submission Procedure
An electronic copy and a hard copy are required. More instructions are to be advised.

4.5 Late Submission

Late submission will not be accepted unless the requirements relating to “Special Consideration” apply.

**Quality Assurance**
The Business School is actively monitoring student learning and quality of the student experience in all its programs. A random selection of completed assessment tasks may be used for quality assurance, such as to determine the extent to which program learning goals are being achieved. The information is required for accreditation purposes, and aggregated findings will be used to inform changes aimed at improving the quality of Business School programs. All material used for such processes will be treated as confidential.
5 COURSE RESOURCES
The website for this course is on Moodle at:
http://moodle.telt.unsw.edu.au

Prescribed Textbook
G. Andrew Karolyi, 2015, Cracking the Emerging Markets Enigma, Oxford University Press.

This textbook is supported by the following website to which students should access as frequently as necessary.

http://www.emergingmarketsenigma.com/

References
The following titles on High Use Reserve at the UNSW Library are useful references and hence strongly recommended:


6 COURSE EVALUATION AND DEVELOPMENT
Each year feedback is sought from students and other stakeholders about the courses offered in the School and continual improvements are made based on this feedback. UNSW’s Course and Teaching Evaluation and Improvement (CATEI) Process is one of the ways in which student evaluative feedback is gathered. In this course, we will seek your feedback through both a mid-session and end of session CATEI. As a result of this feedback, undergraduate and post-graduate students are taught separately and class size is reduced accordingly.
## 7 COURSE SCHEDULE

### Lecture Schedule

Lectures start in Week 1 and finish in Week 12. Except for students presentations of the Case Study Assignment the lectures are followed a class discussion. Students are expected to contribute.

There is no separate tutorial schedule for this course.

GAK: G. Andrew Karolyi (Prescribed Textbook)
GG: Gordian Gaeta (Recommended reference book, on High Use Reserve UNSW Library)
MM: Mark Mobius (Recommended reference book, on High Use Reserve UNSW Library)

Additional readings other than these above sources will be posted on the website of this course.

A case study assignment and other relevant details/instructions will be posted on the website.

### LECTURE SCHEDULE

<table>
<thead>
<tr>
<th>Week</th>
<th>Topic</th>
<th>Reference</th>
</tr>
</thead>
</table>
| Week 1   | Introduction: What are Asia-Pacific markets? Why study/invest in Asia-Pacific markets? | GAK: 2
GG: 1, 2, 3
MM: 1, 2, 3, 4
Additional readings to be posted on the website |
| Week 2   | Governance                                                  | GAK: 7
GG: 15
Additional readings to be posted on the website |
| Week 3   | Liberalization/Globalization                                | GAK: 4, 6
Additional readings to be posted on the website |
| Week 4   | Risk: General Aspects                                       | GAK: 8
Additional readings to be posted on the website |
| Week 5   | Financial Crises                                            | MM: 8, 16
Additional readings to be posted on the website |
<p>| Week 6   | Mid-session Test (In Class) 1 September 2015 No Lecture     | Scope of Test: up to and including Week 4 materials |</p>
<table>
<thead>
<tr>
<th>Week</th>
<th>Dates</th>
<th>Topic</th>
<th>Coursework</th>
</tr>
</thead>
</table>
| Week 7 | 7 September | Investment Issues (I) | GAK: 5, 6, 7, 8, 9  
 GG: 7  
 MM: 4, 5, 6, 10, 13, 14  
 Additional readings to be posted on the website |
| Week 8 | 14 September | Investment Issues (II) | GAK: 5, 6, 7, 8, 9  
 GG: 5, 14  
 MM: 12  
 Additional readings to be posted on the website |
| Week 9 | 21 September | Investment Issues (III) | GAK: 5, 6, 7, 8, 9  
 GG: 8, 11, 13, 16  
 MM: 15, 17, 18  
 Additional readings to be posted on the website |

Mid-semester break: Saturday 26 September - Monday 5 October inclusive

| Week 10 | 5 October | (Monday 5 Oct is a public holiday)  
 Students Presentation of Case Study Assignment  
 All group members are required to be present |
| Week 11 | 12 October | Students Presentation of Case Study Assignment  
 All group members are required to be present |
| Week 12 | 19 October | Revision | GAK: 10, 11  
 Additional readings to be posted on the website |
PART B: KEY POLICIES, STUDENT RESPONSIBILITIES AND SUPPORT

8 PROGRAM LEARNING GOALS AND OUTCOMES

The Business School Program Learning Goals reflect what we want all students to BE or HAVE by the time they successfully complete their degree, regardless of their individual majors or specialisations. For example, we want all our graduates to HAVE a high level of business knowledge, and a sound awareness of ethical, social, cultural and environmental implications of business. As well, we want all our graduates to BE effective problem-solvers, communicators and team participants. These are our overall learning goals for you and are sought after by employers.

You can demonstrate your achievement of these goals by the specific outcomes you achieve by the end of your degree (e.g. be able to analyse and research business problems and propose well-justified solutions). Each course contributes to your development of two or more program learning goals/outcomes by providing opportunities for you to practise these skills and to be assessed and receive feedback.

Program Learning Goals for undergraduate and postgraduate students cover the same key areas (application of business knowledge, critical thinking, communication and teamwork, ethical, social and environmental responsibility), which are key goals for all Business students and essential for success in a globalised world. However, the specific outcomes reflect different expectations for these levels of study.

We strongly advise you to choose a range of courses which assist your development of these skills, e.g., courses assessing written and oral communication skills, and to keep a record of your achievements against the Program Learning Goals as part of your portfolio.

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</table>
Consider social and cultural implications of business and/or management practice.

9 ACADEMIC HONESTY AND PLAGIARISM

The University regards plagiarism as a form of academic misconduct, and has very strict rules regarding plagiarism. For UNSW policies, penalties, and information to help you avoid plagiarism see: https://student.unsw.edu.au/plagiarism as well as the guidelines in the online ELISE tutorials for all new UNSW students: http://subjectguides.library.unsw.edu.au/elise

To see if you understand plagiarism, do this short quiz: https://student.unsw.edu.au/plagiarism-quiz

For information on how to acknowledge your sources and reference correctly, see: https://student.unsw.edu.au/harvard-referencing

For the Business School Harvard Referencing Guide, see the Business Referencing and Plagiarism webpage (Business >Students>Learning support> Resources>Referencing and plagiarism).

10 STUDENT RESPONSIBILITIES AND CONDUCT

Students are expected to be familiar with and adhere to university policies in relation to class attendance and general conduct and behaviour, including maintaining a safe, respectful environment; and to understand their obligations in relation to workload, assessment and keeping informed.

Information and policies on these topics can be found in UNSW Current Students ‘Managing your Program’ webpages: https://student.unsw.edu.au/program.

10.1 Workload

It is expected that you will spend at least nine to ten hours per week studying this course. This time should be made up of reading, research, working on exercises and problems, online activities and attending classes. In periods where you need to complete assignments or prepare for examinations, the workload may be greater. Over-commitment has been a cause of failure for many students. You should take the required workload into account when planning how to balance study with employment and other activities.

We strongly encourage you to connect with your Moodle course websites in the first week of semester. Local and international research indicates that students who engage early and often with their course website are more likely to pass their course.

Information on expected workload: https://student.unsw.edu.au/uoc

10.2 Attendance

Your regular and punctual attendance at lectures and seminars is expected in this course. University regulations indicate that if students attend less than 80% of scheduled classes they may be refused final assessment. For more information, see: https://student.unsw.edu.au/attendance
10.3 General Conduct and Behaviour
You are expected to conduct yourself with consideration and respect for the needs of your fellow students and teaching staff. Conduct which unduly disrupts or interferes with a class, such as ringing or talking on mobile phones, is not acceptable and students may be asked to leave the class. More information on student conduct is available at: https://student.unsw.edu.au/conduct

10.4 Occupational Health and Safety
UNSW Policy requires each person to work safely and responsibly, in order to avoid personal injury and to protect the safety of others. For more information, see http://www.ohs.unsw.edu.au/.

10.5 Keeping Informed
You should take note of all announcements made in lectures, tutorials or on the course website. From time to time, the University will send important announcements to your university e-mail address without providing you with a paper copy. You will be deemed to have received this information. It is also your responsibility to keep the University informed of all changes to your contact details.

11 SPECIAL CONSIDERATION
You must submit all assignments and attend all examinations scheduled for your course. You should seek assistance early if you suffer illness or misadventure which affects your course progress.

General information on special consideration for undergraduate and postgraduate courses:

1. All applications for special consideration must be lodged online through myUNSW within 3 working days of the assessment (Log into myUNSW and go to My Student Profile tab > My Student Services > Online Services > Special Consideration). You will then need to submit the originals or certified copies of your completed Professional Authority form (pdf - download here) and other supporting documentation to Student Central. For more information, please study carefully in advance the instructions and conditions at: https://student.unsw.edu.au/special-consideration

2. Please note that documentation may be checked for authenticity and the submission of false documentation will be treated as academic misconduct. The School may ask to see the original or certified copy.

3. Applications will not be accepted by teaching staff. The lecturer-in-charge will be automatically notified when you lodge an online application for special consideration.

4. Decisions and recommendations are only made by lecturers-in-charge (or by the Faculty Panel in the case of UG final exam special considerations), not by tutors.

5. Applying for special consideration does not automatically mean that you will be granted a supplementary exam or other concession.

6. Special consideration requests do not allow lecturers-in-charge to award students additional marks.

Business School, and the School of Banking and Finance policy on requests for special consideration for Final Exams in undergraduate and postgraduate courses:
The lecturer-in-charge will need to be satisfied on each of the following before supporting a request for special consideration:

1. Does the medical certificate contain all relevant information? For a medical certificate to be accepted, the degree of illness, and impact on the student, must be stated by the medical practitioner (severe, moderate, mild). A certificate without this will not be valid.

2. Has the student performed satisfactorily in the other assessment items? Satisfactory performance would require at least 50% in each major assessment item specified in the Course outline and meeting the obligation to have attended 80% of tutorials or Lectures.

3. Does the student have a history of previous applications for special consideration? A history of previous applications may preclude a student from being granted special consideration.

Special consideration and the Final Exam and mid-session examinations in undergraduate and postgraduate Banking and Finance courses:

Applications for special consideration in relation to the final exam are considered by a Business School Faculty panel to which lecturers-in-charge provide their recommendations for each request. If the Faculty panel grants a special consideration request, this will entitle the student to sit a supplementary examination. No other form of consideration will be granted. The following procedures will apply:

1. Final Supplementary exams will be scheduled centrally and will be held approximately two weeks after the formal examination period. The dates for Business School supplementary exams for Semester 2, 2015 are:
   - 9th December – exams for all Schools except Accounting and Economics
   If a student lodges a special consideration for the final exam, they are stating they will be available on the above dates. **Supplementary exams will not be held at any other time.**

2. Where a student is granted a supplementary examination as a result of a request for special consideration, the student’s original exam (if completed) will be ignored and only the mark achieved in the supplementary examination will count towards the final grade. Failure to attend the supplementary exam will not entitle the student to have the original exam paper marked and may result in a zero mark for the final exam.

If you attend the regular final exam, you are extremely unlikely to be granted a supplementary exam. Hence if you are too ill to perform up to your normal standard in the regular final exam, you are strongly advised not to attend. However, granting of a supplementary exam in such cases is not automatic. You would still need to satisfy the criteria stated above.

The Business School’s Special Consideration and Supplementary Examination Policy and Procedures for Final Exams for Undergraduate Courses is available at:
12 STUDENT RESOURCES AND SUPPORT
The University and the Business School provide a wide range of support services for students, including:

- **Business School Education Development Unit (EDU)**
  [https://www.business.unsw.edu.au/students/resources/learning-support](https://www.business.unsw.edu.au/students/resources/learning-support)
  The EDU provides academic writing, study skills and maths support specifically for Business students. Services include workshops, online resources, and individual consultations. EDU Office: Level 1, Room 1033, Quadrangle Building. Phone: 9385 5584; Email: edu@unsw.edu.au.

- **Business Student Centre**
  [https://www.business.unsw.edu.au/students/resources/student-centre](https://www.business.unsw.edu.au/students/resources/student-centre)
  Provides advice and direction on all aspects of admission, enrolment and graduation. Office: Level 1, Room 1028 in the Quadrangle Building; Phone: 9385 3189.

- **Moodle eLearning Support**
  For online help using Moodle, go to: [https://student.unsw.edu.au/moodle-support](https://student.unsw.edu.au/moodle-support). For technical support, email: itservicecentre@unsw.edu.au; Phone: 9385 1333.

- **UNSW Learning Centre**
  [www lc.unsw.edu.au](http://www lc.unsw.edu.au)
  Provides academic skills support services, including workshops and resources, for all UNSW students. See website for details.

- **Library training and search support services**
  [http://info.library.unsw.edu.au/web/services/services.html](http://info.library.unsw.edu.au/web/services/services.html)

- **IT Service Centre**: Provides technical support for problems logging in to websites, downloading documents etc. [https://www.it.unsw.edu.au/students/index.html](https://www.it.unsw.edu.au/students/index.html) Office: UNSW Library Annexe (Ground floor). Ph: 9385 1333.

- **UNSW Counselling and Psychological Services**
  [https://student.unsw.edu.au/wellbeing](https://student.unsw.edu.au/wellbeing) Provides support and services if you need help with your personal life, getting your academic life back on track or just want to know how to stay safe, including free, confidential counselling. Office: Level 2, East Wing, Quadrangle Building; Phone: 9385 5418; Email: counselling@unsw.edu.au

- **Student Equity & Disabilities Unit**
  [http://www.studentequity.unsw.edu.au](http://www.studentequity.unsw.edu.au)
  Provides advice regarding equity and diversity issues, and support for students who have a disability or disadvantage that interferes with their learning. Office: Ground Floor, John Goodsell Building; Phone: 9385 4734; Email: seadu@unsw.edu.au