MNGT5221
Finance

Course Outline
Session 2, 2015

Part A: Course-Specific Information

Part B: Key Policies, Student Responsibilities and Support
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2. **STAFF CONTACT DETAILS**

Lecturer in charge: Oleg Chuprinin  
Office: Room 349, Australian School of Business Building  
Telephone: 9385 5856  
Email: o.chuprinin@unsw.edu.au  
Consultation times: by appointment

3. **COURSE DETAILS**

3.1 **Teaching Times and Locations**

Classes in this course start on week of 25th May 2015 and run to week of 27th July 2015 of AGSM MBA Programs Session 2.

3.2 **Units of Credit**

The course is worth 6 units of credit. There is no parallel teaching in this course

3.3 **Summary of Course**

This course introduces the following key ideas in finance: (1) the time value of money and discounted cash flow analysis; (2) the risk-return trade-off, diversification, and the cost of capital; and (3) the role and mechanics of financial markets. Special focus is placed on the firm’s primary financial functions, including investment policy and financing policy. The major purpose of the course is to help students understand how these functions can add value to an organisation.

3.4 **Course Aims and Relationship to Other Courses**

Finance addresses the important questions of what is shareholder value, how it is measured, and how a well-run organisation creates or adds value. This course is designed to develop basic skills and knowledge that students will be able to use in subsequent elective courses. As an application of microeconomics, the Finance course is closely related to the core courses in economics and accounting.
### 3.5 Student Learning Outcomes

The Course Learning Outcomes are what you should be able to DO by the end of this course if you participate fully in learning activities and successfully complete the assessment items.

The Learning Outcomes in this course also help you to achieve some of the overall Program Learning Goals and Outcomes for all postgraduate coursework students in the ASB. Program Learning Goals are what we want you to BE or HAVE by the time you successfully complete your degree (e.g. ‘be an effective team player’). You demonstrate this by achieving specific Program Learning Outcomes - what you are able to DO by the end of your degree (e.g. ‘participate collaboratively and responsibly in teams’).

<table>
<thead>
<tr>
<th>MBA Program Learning Goals and Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Learning Goal 1: Business Management Knowledge</strong></td>
</tr>
<tr>
<td>Students should be able to identify and apply current knowledge of disciplinary and interdisciplinary theory and professional practice to general management and business within diverse situations</td>
</tr>
<tr>
<td><strong>Learning Goal 2: Critical Thinking</strong></td>
</tr>
<tr>
<td>Students should understand and be able to identify, research and analyse complex issues and problems in business and develop appropriate solutions</td>
</tr>
<tr>
<td><strong>Learning Goal 3: Communication</strong></td>
</tr>
<tr>
<td>Students should be able to produce written documents and oral presentations that communicate effectively complex disciplinary ideas and information for the intended audience and purpose</td>
</tr>
<tr>
<td><strong>Learning Goal 4: Teamwork</strong></td>
</tr>
<tr>
<td>Students should be able to participate collaboratively and responsibly in teams and to reflect upon their own contribution to the team and on the necessary processes and knowledge within the team to achieve specified outcomes</td>
</tr>
<tr>
<td><strong>Learning Goal 5: Responsible Business</strong></td>
</tr>
<tr>
<td>Students should be able to appraise ethical, environmental and sustainability considerations in decision making and in practice in business</td>
</tr>
<tr>
<td>Students should be able to consider the social and cultural implications of management practices and of business activities</td>
</tr>
<tr>
<td><strong>Learning Goal 6: Leadership</strong></td>
</tr>
<tr>
<td>Students should be able to reflect upon their own personal leadership style and the leadership needs of business and of teams</td>
</tr>
<tr>
<td><strong>Learning Goal 7: International Perspective</strong></td>
</tr>
<tr>
<td>Students should understand the needs of undertaking business within a global context</td>
</tr>
<tr>
<td>Students should be able to apply business management knowledge to business situations within global markets with due recognition for differences in cultural, legal, commercial and other issues</td>
</tr>
<tr>
<td><strong>Learning Goal 8: Risk Management</strong></td>
</tr>
<tr>
<td>Students should be able to demonstrate an understanding of the limits in precision and the risks associated with business models</td>
</tr>
<tr>
<td>Students should be able to appraise risk and to develop risk mitigation strategies applicable to business undertaken within uncertain and volatile environments</td>
</tr>
</tbody>
</table>
For more information on the Postgraduate Coursework Program Learning Goals and Outcomes, see Part B of the course outline.

The following table shows how your Course Learning Outcomes relate to the overall Program Learning Goals and Outcomes, and indicates where these are assessed (they may also be developed in tutorials and other activities):

<table>
<thead>
<tr>
<th>Program Learning Goals and Outcomes</th>
<th>Course Learning Outcomes</th>
<th>Course Assessment Item</th>
</tr>
</thead>
<tbody>
<tr>
<td>This course helps you to achieve the following learning goals for all ASB postgraduate coursework students:</td>
<td>On successful completion of the course, you should be able to:</td>
<td>This learning outcome will be assessed in the following items:</td>
</tr>
</tbody>
</table>
| 1 Business Management Knowledge | Understand and explain key concepts and terms of corporate finance and investment | ● Class discussions  
● Group case report  
● Mid-session exam  
● Final exam |
| 2 Critical Thinking | Value and select investment opportunities  
Quantify value-creation inside an organization and evaluate the effects of managerial decision-making on the stock market | ● Class discussions  
● Group case report  
● Mid-session exam  
● Final exam |
| 3 Communication | Concisely present your ideas using the finance framework and respond to challenges from a broad audience | ● Class discussions  
● Group case report |
| 4 Teamwork | Coordinate efficiently in a team of up to 5 people to analyse a business situation and structure the report | ● Group case report |
| 5 Responsible Business | Optimize a company’s capital structure by using appropriate sources of capital | ● Class discussions |
| 6 Leadership | Not specifically assessed | ● Not specifically assessed |
| 7 International Perspective | Apply the key finance concepts in the analysis of global businesses and activities of multinational corporations | ● Class discussions  
● Group case report  
● Mid-session exam  
● Final exam |
| 8 Risk Management | Recognize risk-disguising investment strategies, construct asset portfolios of different risk characteristic based on individual preferences | ● Class discussions  
● Mid-session exam  
● Final exam |
4. ASSESSMENT

4.1 Formal Requirements

In order to pass this course, you must:

- achieve a composite mark of at least 50; and
- make a satisfactory attempt at all assessment tasks (see below).

4.2 Assessment Details

The course will be graded on the following criteria:

<table>
<thead>
<tr>
<th>Assessment Task</th>
<th>Weighting</th>
<th>Program Learning Goals and Outcomes Assessed</th>
<th>Length</th>
<th>Due Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class participation</td>
<td>10%</td>
<td>1,2,3,5,7,8</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Group Case Report</td>
<td>25%</td>
<td>1,2,3,4,7</td>
<td>10 pages</td>
<td>Week of Jun 15</td>
</tr>
<tr>
<td>Mid-Session in-class Exam</td>
<td>25%</td>
<td>1,2,7,8</td>
<td>2 hours</td>
<td>Week of Jun 22</td>
</tr>
<tr>
<td>Final Exam</td>
<td>40%</td>
<td>1,2,7,8</td>
<td>3 hours</td>
<td>Week of Aug 3</td>
</tr>
<tr>
<td>Total</td>
<td>100%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4.2.1 Class participation

To ensure continuity between topics, during the first 15 minutes of each class, I will ask questions about the previous class's material. This is not a quiz and all answers are voluntary. Volunteers will be rewarded with participation credit which will contribute 10% toward the overall course grade.

4.2.2 Group Case Report

- **Brief description, assessment requirements, and grading:** There will be one case for which each group of students will prepare a report. The report should be limited to 10 pages including supplementary material such as figures and tables. The report should give clear answers to the questions (will be distributed in class and posted on the course webpage), state necessary assumptions, and explain the key steps in the analysis of the case. All members of the group will be graded equally on this assignment. The Case Report contributes 25% toward the overall course grade of each student.

- **Rationale/purpose for the assessment task:** To allow students to evaluate their knowledge in a practical setting and assess their ability to communicate their analysis in a concise written format.

- **Submission procedure:** The Case Report is due no later than 16.00 AEST on the day before the class in which the case will be discussed (e.g., if the class is on Wednesday, the report has to be submitted by Tuesday 16:00). The Case Report should be emailed to me in a DOC or PDF file at o.chuprinin@unsw.edu.au.
4.2.3 Mid-Session in-class Examination

- **Brief description, assessment requirements, and grading:** The first half of the course concludes with a 2 hour written Mid-Session Examination. The exam will include a multiple-choice section and a problem-solving section. The exam is open-book but the students are required to complete the exam as an individual assignment. The exam is worth 25 points (the exact breakdown of the points by problems will be specified on the exam sheet) and contributes 25% to the overall course grade.

- **Rationale/purpose for the assessment task:** To allow students to assess their understanding of the disciplinary knowledge and their analytical thinking and problem-solving abilities.

- **Submission procedure:** Students will take the Mid-Session Examination in class held at its regular time and will hand in their answers at the end of the allotted time.

4.2.4 Final Exam

- **Brief description, assessment requirements, and grading:** The course concludes with a 3 hour written Final Examination comprising several multiple choice questions plus four problem-solving questions with several subsections. This exam is cumulative, however the emphasis will be put on the second half of the course. The exam is open-book but the students are required to complete the exam as an individual assignment. The exam is worth 40 points (the exact breakdown of the points by problems will be specified on the exam sheet) and contributes 40% to the overall course grade.

- **Rationale/purpose for the assessment task:** To allow students to assess their understanding of the disciplinary knowledge and their analytical thinking and problem-solving abilities.

- **Submission procedure:** Students will take the Final Examination during the AGSM MBA Program examination period at the end of Session and will hand in their answers at the end of the allotted time for the Final Examination.

4.3 Late Submission

AGSM MBA Programs applies a daily penalty of 5% to late assignments. This policy was reviewed in October 2009 in light of the fact that certain schools within the Australian School of Business apply a 10% penalty. The decision of AGSM MBA Programs following this review was that current 5% penalty is appropriate to all of our programs and will remain unchanged.

Refer to policy at: [http://www.asb.unsw.edu.au/currentstudents/agsmmba/academicinformation/assessmentsandexaminations/Pages/default.aspx](http://www.asb.unsw.edu.au/currentstudents/agsmmba/academicinformation/assessmentsandexaminations/Pages/default.aspx)
Quality Assurance
The ASB is actively monitoring student learning and quality of the student experience in all its programs. A random selection of completed assessment tasks may be used for quality assurance, such as to determine the extent to which program learning goals are being achieved. The information is required for accreditation purposes, and aggregated findings will be used to inform changes aimed at improving the quality of ASB programs. All material used for such processes will be treated as confidential and will not be related to course grades.

5.  COURSE RESOURCES

5.1  Prescribed Textbook
Textbook for purchase: Brealey, R., Myers, S., and Allen, F., Principles of Corporate Finance (McGraw-Hill Irwin, 10th or 11th edition). Note: this is the same textbook that will be used in the elective Corporate Finance course.

A course pack of readings will also be available for this course. Other teaching materials, such as slides, exercises, and additional articles will be available on the course website.

5.2  Course website
The course website may be used for slides, additional readings, and exam solutions. You can access Moodle using your student number and zPass by visiting https://moodle.telt.unsw.edu.au/login/index.php

5.3  UNSW Library
The UNSW Library provides an extensive collection of books and journals that can aid your research and learning. You can also use their online databases to access periodicals. For more information, visit:
http://www.library.unsw.edu.au/servicesfor/index.html

6.  COURSE EVALUATION AND DEVELOPMENT
Mid- and end-session feedback is sought from students about the courses offered in the School and continual improvements are made based on this feedback. Significant changes to courses and programs within the School are communicated to subsequent cohorts of students.
## 7. COURSE SCHEDULE

<table>
<thead>
<tr>
<th>Date</th>
<th>Topic</th>
<th>Reference</th>
</tr>
</thead>
</table>
| Week of May 25   | Introduction and course overview  
Key questions and concepts in finance  
Time value of money | Brealey, Myers, and Allen:  
Chapters 1, 2  
Supplementary reading: What is a Share? |
| Week of Jun 1    | Valuation of multiple cash flows  
Interest rate quotes  
NPV and other capital budgeting criteria | Brealey, Myers, and Allen:  
Chapters 5, 6 |
| Week of Jun 8    | Cash flow projection and Investment decisions | Brealey, Myers, and Allen:  
Chapters 5, 6 |
| Week of Jun 15   | Case analysis and discussion | Case: Capro Group, A Growth Story |
| Week of Jun 22   | Mid-Session Exam (in class) |  |
| Week of Jun 29   | Return, risk, and diversification | Brealey, Myers, and Allen:  
Chapter 7 |
| Week of Jul 6    | Capital Asset Pricing Model | Brealey, Myers, and Allen:  
Chapters 8, 9 |
| Week of Jul 13   | Weighted Average Cost of Capital  
Capital structure | Brealey, Myers, and Allen:  
Chapters 17, 18 |
| Week of Jul 20   | Market efficiency, investor biases, and investment strategies | Brealey, Myers, and Allen:  
Chapter 13  
Supplementary reading: Buy & Sell Shares |
| Week of Jul 27   | Course wrap-up and review for the final exam |  |
| Week of Aug 3    | Final Exam |  |
PART B: KEY POLICIES, STUDENT RESPONSIBILITIES AND SUPPORT

1. PROGRAM LEARNING GOALS AND OUTCOMES

The Australian School of Business Program Learning Goals reflect what we want all students to BE or HAVE by the time they successfully complete their degree, regardless of their individual majors or specialisations. For example, we want all our graduates to HAVE a high level of business knowledge, and a sound awareness of ethical, social, cultural and environmental implications of business. As well, we want all our graduates to BE effective problem-solvers, communicators and team participants. These are our overall learning goals for you.

You can demonstrate your achievement of these goals by the specific outcomes you achieve by the end of your degree (e.g. be able to analyse and research business problems and propose well-justified solutions). Each course contributes to your development of two or more program learning goals/outcomes by providing opportunities for you to practise these skills and to be assessed and receive feedback.

Program Learning Goals for undergraduate and postgraduate students cover the same key areas (application of business knowledge, critical thinking, communication and teamwork, ethical, social and environmental responsibility), which are key goals for all ASB students and essential for success in a globalised world. However, the specific outcomes reflect different expectations for these levels of study.

We strongly advise you to choose a range of courses which assist your development of these skills, e.g., courses assessing written and oral communication skills, and to keep a record of your achievements against the Program Learning Goals as part of your portfolio.
### MBA Program Learning Goals and Outcomes

**Learning Goal 1: Business Management Knowledge**  
Students should be able to identify and apply current knowledge of disciplinary and interdisciplinary theory and professional practice to general management and business within diverse situations.

**Learning Goal 2: Critical Thinking**  
Students should understand and be able to identify, research and analyse complex issues and problems in business and develop appropriate solutions.

**Learning Goal 3: Communication**  
Students should be able to produce written documents and oral presentations that communicate effectively complex disciplinary ideas and information for the intended audience and purpose.

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Students should be able to participate collaboratively and responsibly in teams and to reflect upon their own contribution to the team and on the necessary processes and knowledge within the team to achieve specified outcomes.

**Learning Goal 5: Responsible Business**  
Students should be able to appraise ethical, environmental and sustainability considerations in decision making and in practice in business.  
Students should be able to consider the social and cultural implications of management practices and of business activities.

**Learning Goal 6: Leadership**  
Students should be able to reflect upon their own personal leadership style and the leadership needs of business and of teams.

**Learning Goal 7: International Perspective**  
Students should understand the needs of undertaking business within a global context.  
Students should be able to apply business management knowledge to business situations within global markets with due recognition for differences in cultural, legal, commercial and other issues.

**Learning Goal 8: Risk Management**  
Students should be able to demonstrate an understanding of the limits in precision and the risks associated with business models.  
Students should be able to appraise risk and to develop risk mitigation strategies applicable to business undertaken within uncertain and volatile environments.

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### 2. ACADEMIC HONESTY AND PLAGIARISM

The University regards plagiarism as a form of academic misconduct, and has very strict rules regarding plagiarism. For UNSW policies, penalties, and information to help you avoid plagiarism see: [http://www.lc.unsw.edu.au/plagiarism/index.html](http://www.lc.unsw.edu.au/plagiarism/index.html) as well as the guidelines in the online ELISE and ELISE Plus tutorials for all new UNSW students: [http://info.library.unsw.edu.au/skills/tutorials/InfoSkills/index.htm](http://info.library.unsw.edu.au/skills/tutorials/InfoSkills/index.htm).  
To see if you understand plagiarism, do this short quiz: [http://www.lc.unsw.edu.au/plagiarism/plagquiz.html](http://www.lc.unsw.edu.au/plagiarism/plagquiz.html)
For information on how to acknowledge your sources and reference correctly, see:
http://www.lc.unsw.edu.au/onlib/ref.html

For the ASB Harvard Referencing Guide, see the ASB Referencing and Plagiarism webpage (ASB >Learning and Teaching>Student services> Referencing and plagiarism)

3. STUDENT RESPONSIBILITIES AND CONDUCT

Students are expected to be familiar with and adhere to university policies in relation to class attendance and general conduct and behaviour, including maintaining a safe, respectful environment; and to understand their obligations in relation to workload, assessment and keeping informed.

AGSM MBA Programs and UNSW policies
In general, UNSW policies apply to staff and students of AGSM MBA Programs. Where there are additional points or procedures which apply specifically to AGSM MBA Programs they are set out on the AGSM website:
http://www.asb.unsw.edu.au/currentstudents/agsmmba/studentresources/studentsrightsandresponsibilities/Pages/default.aspx

If students are in doubt about the policy or procedure relating to a particular matter they should seek advice from the Student Experience. Information and policies on these topics can be found in the ‘A-Z Student Guide’: https://my.unsw.edu.au/student/atoz/A.html. See, especially, information on ‘Attendance and Absence’, ‘Academic Misconduct’, ‘Assessment Information’, ‘Examinations’, ‘Student Responsibilities’, ‘Workload’ and policies such as ‘Occupational Health and Safety’.

3.1 Workload

It is expected that you will spend at least ten hours per week studying this course. This time should be made up of reading, research, working on exercises and problems, and attending classes. In periods where you need to complete assignments or prepare for examinations, the workload may be greater.

Over-commitment has been a cause of failure for many students. You should take the required workload into account when planning how to balance study with employment and other activities.

3.2 Attendance

Your regular and punctual attendance at lectures and seminars is expected in this course. University regulations indicate that if students attend less than 80% of scheduled classes they may be refused final assessment.

http://www.asb.unsw.edu.au/currentstudents/agsmmba/academicinformation/enrolmentinformation/Pages/default.aspx#class attendance
3.3 General Conduct and Behaviour

You are expected to conduct yourself with consideration and respect for the needs of your fellow students and teaching staff. Conduct which unduly disrupts or interferes with a class, such as ringing or talking on mobile phones, is not acceptable and students may be asked to leave the class. More information on student conduct is available at: https://my.unsw.edu.au/student/atoz/BehaviourOfStudents.html

3.4 Occupational Health and Safety

UNSW Policy requires each person to work safely and responsibly, in order to avoid personal injury and to protect the safety of others. For more information, see http://www.ohs.unsw.edu.au/.

3.5 Keeping Informed

You should take note of all announcements made in lectures, tutorials or on the course web site. From time to time, the University will send important announcements to your university e-mail address without providing you with a paper copy. You will be deemed to have received this information. It is also your responsibility to keep the University informed of all changes to your contact details.

4. SPECIAL CONSIDERATION AND SUPPLEMENTARY EXAMINATIONS

You must submit all assignments and attend all examinations scheduled for your course. You should seek assistance early if you suffer illness or misadventure which affects your course progress. For both general and specific information on ‘special considerations’ contact Student Experience and the lecturer-in-charge: Also see http://www.asb.unsw.edu.au/currentstudents/agsmmba/academicinformation/assessmentsandexaminations/Pages/default.aspx#exams


General Information on Special Consideration:

1. All applications for special consideration must be lodged online through myUNSW within 3 working days of the assessment due date (Log into myUNSW and go to My Student Profile tab > My Student Services channel > Online Services > Special Consideration). You will then need to submit the originals or certified copies of your completed Professional Authority form (pdf - download here) and other supporting documentation to Student Central. For more information, please study carefully the instructions and conditions at: https://my.unsw.edu.au/student/atoz/SpecialConsideration.html.
2. Please note that documentation may be checked for authenticity and the submission of false documentation will be treated as academic misconduct.

3. Applications will **not** be accepted by teaching staff. Student Experience will be automatically notified when you lodge an online application for special consideration.

4. Decisions and recommendations are only made by Student Experience, **not** by tutors.

5. Applying for special consideration **does not** automatically mean that you will be granted a supplementary exam or other concession.

**Special Consideration and the Final Exam:**

Applications for special consideration in relation to the final exam are considered by an AGSM Student Experience panel to which lecturers-in-charge provide their recommendations for each request.

If you attend the regular final exam, you are extremely unlikely to be granted a supplementary exam. Hence if you are too ill to perform up to your normal standard in the regular final exam, **you are strongly advised not to attend**. However, granting of a supplementary exam in such cases is not automatic. You would still need to satisfy the criteria stated above.

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5. **STUDENT RESOURCES AND SUPPORT**

The University and the ASB provide a wide range of support services for students:

- **AGSM Student Experience**
  
  Advice and direction on all aspects of enrolment, timetables and graduation.
  
  Ground Floor – Blue Counter, AGSM Building (G27),
  
  Ph: 9931 9400
  
  E: studentexperience@agsm.edu.au

- **eLearning Support**: For online help using Moodle, follow the links from http://teaching.unsw.edu.au/moodle-students

  **For login issues**
  
  Contact the **UNSW IT Service Centre**:
  
  **Hours:** Monday–Friday 8am – 8pm
  
  Saturday–Sunday 11am – 2pm
  
  **Email:** ITServiceCentre@unsw.edu.au
  
  **Phone:** (02) 9385 1333; **International:** +61 2 9385 1333

  **For assistance in using Moodle, including how to upload assessments**
  
  Contact the **AGSM Elearning Coordinator**:
  
  **Hours:** Monday – Friday, 9am – 5pm
  
  **Email:** elearning@agsm.edu.au
For help with technical issues and problems
Contact the External TELT Service Centre:
Hours: Monday–Friday 7.30am – 9.30pm
         Saturday–Sunday 8.30am – 4.30pm
Email: externalteltsupport@unsw.edu.au
Phone: (02) 9385 3331; International: +61 2 9385 3331

- **ASB Education Development Unit (EDU)**
  Academic writing, study skills and maths support specifically for ASB students.
  Services include workshops, online and printed resources, and individual consultations.
  EDU Office: Room GO7, Ground Floor, ASB Building (opposite Student Centre); Ph: +61 2 9385 5584; Email: edu@unsw.edu.au

- **UNSW Learning Centre** [www.lc.unsw.edu.au](http://www.lc.unsw.edu.au)
  Academic skills support services, including workshops and resources, for all UNSW students. See website for details.

- **Library training and search support services:**
  [http://info.library.unsw.edu.au/web/services/services.html](http://info.library.unsw.edu.au/web/services/services.html)

- **IT Service Centre**: Technical support for problems logging in to websites, downloading documents etc.
  [https://www.it.unsw.edu.au/students/index.html](https://www.it.unsw.edu.au/students/index.html)
  UNSW Library Annexe (Ground floor)

- **UNSW Counselling and Psychological Services**
  [http://www.counselling.unsw.edu.au](http://www.counselling.unsw.edu.au)
  Free, confidential service for problems of a personal or academic nature; and workshops on study issues such as ‘Coping With Stress’ and ‘Procrastination’.
  Office: Level 2, Quadrangle East Wing; Ph: +61 2 9385 5418

- **Student Equity & Disabilities Unit** [http://www.studentequity.unsw.edu.au](http://www.studentequity.unsw.edu.au)
  Advice regarding equity and diversity issues, and support for students who have a disability or disadvantage that interferes with their learning.
  Office: Ground Floor, John Goodsell Building; Ph: +61 2 9385 4734